Attorney Docket No.: 10181.210-US

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Ness et al.

Confirmation No: TBA

Serial No.: 10/736,997

Group Art Unit: TBA

Filed: December 15, 2003

Examiner: TBA

For: Subtilisin Variants

CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

Mail Stop DD Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

I hereby certify that the attached correspondence comprising:

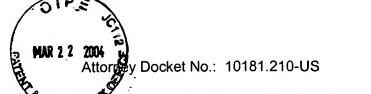
- 1. Information Disclosure Statement
- 2. Form PTO/SB/08A

is being deposited with the United States Postal Service as first class mail in an envelope addressed to the address indicated above on March 18, 2004.

Lourdes Ayala

(name of person mailing paper)

(signature of person mailing paper)



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Ness et al.

Confirmation No: TBA

Serial No.: 10/736,997

Group Art Unit: TBA

Filed: December 15, 2003

Examiner: TBA

For: Subtilisin Variants

INFORMATION DISCLOSURE STATEMENT (IDS) UNDER 37 CFR 1.97(b)

Mail Stop DD Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with 37 C.F.R. 1.56, 1.97 and 1.98, Applicants submit herewith references which may be material to the patentability of this application and with respect to which there may be a duty to disclose. While the references may be material under 37 C.F.R. 1.56, it is not intended to constitute an admission that the references are prior art. The filing of this IDS shall not be construed as a representation that no other material references than those listed exist or that a search has been conducted.

The references are listed in Form PTO/SB/08A, which is in accordance with the requirements of M.P.E.P. 609.

This IDS is being filed **within** three months of the filing date of a national application or date of entry into the national stage of an international application, or **before** the mailing date of a first Office action on the merits, or **before** the mailing date of a first Office action after the filing of a request for continued examination. Therefore, no fee is due.

Respectfully submitted,

Date: March 18, 2004

Jason I. Garbell, Reg. No. 44,116 Novozymes North America, Inc. 500 Fifth Avenue, Suite 1600 New York, NY 10110

(212)840-0097

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449A/PTO					espond to a collection of information unless it contains a valid OMB control number. Complete if Known		
					Application Number	10/736,997	
/	్స INF	ORMATION	DISCLOSURE	Filing Date	December 15, 2003		
MAR 2 2 200	STATEMENT BY APPLICANT (use as many sheets as necessary)				First Named Inventor	Ness et al.	
					Art Unit	TBA	
E STATE OF THE STA					Examiner Name	TBA	
St	rest	1	of	2	Attorney Docket Number	10181.210-US	
TRADEBU							

U.S PATENT DOCUMENTS									
Examiner Initials	Cite No. 1	Document Number Number – Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear				
		US 6,482,628	11/19/2002						
		US 5,340,735	08/23/1994						

FOREIGN PATENT DOCUMENTS								
Examiner Initials	Cite No. 1	Foreign Patent Document Country Code ³ – Number ⁴ – Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Т 6		
								
	 							
	+							
	-							
	 							
-								
	 					-		
	-							
	1		1	l		i		

Examiner	Date	
Signature	 Considered	

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner of Patents, Washington, DC 20231.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.									
Substitute for form 1449A/PTO					Complete if Known				
/5.	- 🔌				Application Number	10/736,997			
/	```\	NFORMATI	ON DISCLOSI	URE	Filing Date	December 15, 2003			
	STATEMENT BY APPLICANT (use as many sheets as necessary)			ANT	First Named Inventor	Ness et al.			
D HAR 2					Art Unit	TBA			
REEL				• •	Examiner Name	TBA			
Υ .	Sheet	2	of	2	Attorney Docket Number	10181.210-US			
TRA	DEM								

Cite No. 1	OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title	
110.	of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume- issue number(s), publisher, city and/or country where published	T ²
		ı

Examiner	Date	
Signature	Considered	

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner of Patents, Washington, DC 20231.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.